

PATENT COOPERATION TREATY
PCT
INTERNATIONAL PRELIMINARY REPORT ON PATENTABILITY
(Chapter II of the Patent Cooperation Treaty)

(PCT Article 36 and Rule 70)

REC'D 02 AUG 2005
EPO PCT

Applicant's or agent's file reference 2003.793 WO	FOR FURTHER ACTION	
See Form PCT/IPEA/416		
International application No. PCT/EP2004/051069	International filing date (day/month/year) 09.06.2004	Priority date (day/month/year) 12.06.2003
International Patent Classification (IPC) or national classification and IPC A61K31/407, A61P25/18		
Applicant ORGANON IRELAND LTD. et al		
<p>1. This report is the International preliminary examination report, established by this International Preliminary Examining Authority under Article 35 and transmitted to the applicant according to Article 36.</p> <p>2. This REPORT consists of a total of 10 sheets, including this cover sheet.</p> <p>3. This report is also accompanied by ANNEXES, comprising:</p> <p>a. <input type="checkbox"/> <i>(sent to the applicant and to the International Bureau) a total of sheets, as follows:</i></p> <p style="margin-left: 20px;"><input type="checkbox"/> <i>sheets of the description, claims and/or drawings which have been amended and are the basis of this report and/or sheets containing rectifications authorized by this Authority (see Rule 70.16 and Section 607 of the Administrative Instructions).</i></p> <p style="margin-left: 20px;"><input type="checkbox"/> <i>sheets which supersede earlier sheets, but which this Authority considers contain an amendment that goes beyond the disclosure in the international application as filed, as indicated in item 4 of Box No. I and the Supplemental Box.</i></p> <p>b. <input type="checkbox"/> <i>(sent to the International Bureau only) a total of (indicate type and number of electronic carrier(s)), containing a sequence listing and/or tables related thereto, in computer readable form only, as indicated in the Supplemental Box Relating to Sequence Listing (see Section 802 of the Administrative Instructions).</i></p> <p>4. This report contains indications relating to the following items:</p> <p style="margin-left: 20px;"><input checked="" type="checkbox"/> Box No. I Basis of the opinion</p> <p style="margin-left: 20px;"><input type="checkbox"/> Box No. II Priority</p> <p style="margin-left: 20px;"><input checked="" type="checkbox"/> Box No. III Non-establishment of opinion with regard to novelty, inventive step and industrial applicability</p> <p style="margin-left: 20px;"><input type="checkbox"/> Box No. IV Lack of unity of invention</p> <p style="margin-left: 20px;"><input checked="" type="checkbox"/> Box No. V Reasoned statement under Article 35(2) with regard to novelty, inventive step or industrial applicability; citations and explanations supporting such statement</p> <p style="margin-left: 20px;"><input type="checkbox"/> Box No. VI Certain documents cited</p> <p style="margin-left: 20px;"><input type="checkbox"/> Box No. VII Certain defects in the international application</p> <p style="margin-left: 20px;"><input type="checkbox"/> Box No. VIII Certain observations on the international application</p>		
Date of submission of the demand 15.11.2004	Date of completion of this report 02.08.2005	
Name and mailing address of the international preliminary examining authority:  European Patent Office - P.B. 5818 Patentlaan 2 NL-2280 HV Rijswijk - Pays Bas Tel. +31 70 340 - 2040 Tx: 31 651 epo nl Fax: +31 70 340 - 3016	Authorized Officer Langer, O Telephone No. +31 70 340- 	

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Box No. I Basis of the report

1. With regard to the **language**, this report is based on the international application in the language in which it was filed, unless otherwise indicated under this item.
 - This report is based on translations from the original language into the following language, which is the language of a translation furnished for the purposes of:
 - international search (under Rules 12.3 and 23.1(b))
 - publication of the international application (under Rule 12.4)
 - international preliminary examination (under Rules 55.2 and/or 55.3)
2. With regard to the **elements*** of the international application, this report is based on (*replacement sheets which have been furnished to the receiving Office in response to an invitation under Article 14 are referred to in this report as "originally filed" and are not annexed to this report*):

Description, Pages

1-9 as originally filed

Claims, Numbers

1-12 as originally filed

- a sequence listing and/or any related table(s) - see Supplemental Box Relating to Sequence Listing

3. The amendments have resulted in the cancellation of:
 - the description, pages
 - the claims, Nos.
 - the drawings, sheets/figs
 - the sequence listing (*specify*):
 - any table(s) related to sequence listing (*specify*):
4. This report has been established as if (some of) the amendments annexed to this report and listed below had not been made, since they have been considered to go beyond the disclosure as filed, as indicated in the Supplemental Box (Rule 70.2(c)).
 - the description, pages
 - the claims, Nos.
 - the drawings, sheets/figs
 - the sequence listing (*specify*):
 - any table(s) related to sequence listing (*specify*):

* If item 4 applies, some or all of these sheets may be marked "superseded."

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Box No. III Non-establishment of opinion with regard to novelty, inventive step and industrial applicability

1. The questions whether the claimed invention appears to be novel, to involve an inventive step (to be non-obvious), or to be industrially applicable have not been examined in respect of:
 - the entire international application,
 - claims Nos. 1-9
 - because:
 - the said international application, or the said claims Nos. relate to the following subject matter which does not require an international preliminary examination (specify):
 - the description, claims or drawings (*indicate particular elements below*) or said claims Nos. 1-3 and 7-9 are so unclear that no meaningful opinion could be formed (*specify*):
see separate sheet
 - the claims, or said claims Nos. 20-27,36 are so inadequately supported by the description that no meaningful opinion could be formed.
 - no international search report has been established for the said claims Nos. 1-6 (with respect to industrial applicability)
 - the nucleotide and/or amino acid sequence listing does not comply with the standard provided for in Annex C of the Administrative Instructions in that:

the written form	<input type="checkbox"/> has not been furnished
	<input type="checkbox"/> does not comply with the standard
the computer readable form	<input type="checkbox"/> has not been furnished
	<input type="checkbox"/> does not comply with the standard
- the tables related to the nucleotide and/or amino acid sequence listing, if in computer readable form only, do not comply with the technical requirements provided for in Annex C-bis of the Administrative Instructions.
- See separate sheet for further details

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Box No. V Reasoned statement under Article 35(2) with regard to novelty, inventive step or industrial applicability; citations and explanations supporting such statement

1. Statement

Novelty (N)	Yes: Claims	1-12
	No: Claims	1-11, 20-23, 27-33, 35-37
Inventive step (IS)	Yes: Claims	1-12
	No: Claims	1-37
Industrial applicability (IA)	Yes: Claims	7-12
	No: Claims	

2. Citations and explanations (Rule 70.7):

see separate sheet

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Re Item III.

**Non-establishment of opinion with regard to novelty, inventive step
and industrial applicability**

III.1. Article 34(4)(a)(i) PCT

Claims 1-6 relate to subject-matter considered by this Authority to be covered by the provisions of Rule 67.1(iv) PCT. Consequently, no opinion will be formulated with respect to the industrial applicability of the subject-matter of these claims (Article 34(4)(a)(i) PCT).

III.2. Article 6 PCT

III.2.1. The terms (i) 'patient with overweight', (ii) 'patient that was having weight gain due to another antipsychotic agent' and (iii) 'patient that needs to be protected against weight increase due to the presence of risk factors for a disease for which overweight is also a risk factor or due to the presence of other weight increasing factors' in claims 1-3 and 7-9 are considered unclear within the meaning of Article 6 PCT to such an extent as to render a meaningful search of the claims impossible.

The description provides explanatory support for term (iii) only for patients suffering from diabetes, cardiovascular diseases or sudden abstinence from smoking (page 2, lines 33-35).

III.2.2. Consequently, an International Search has been carried out for those parts of the claims that appear to be clear, i.e. those parts wherein the group of patients is limited to obese patients, patients having a BMI ≥ 25 (cf claims 4, 5, 10 and 11) and patients suffering from diabetes, cardiovascular diseases or sudden abstinence from smoking (page 2, lines 33-35).

III.2.3. As the ISR for the present application has been limited to subject-matter as defined under item III.2.2, this Written Opinion has been established only for those parts of the subject-matter of the present claims for which an International Search has been performed, namely those parts that have been specified under item III.2.2 above.

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Re Item V.

**Reasoned statement under Rule 43bis.1(a)(i) with regard to novelty,
inventive step or industrial applicability**

V.1. The following documents are referred to:

- D1: US 2002/156067 A1 (SVENSSON TORGNY ET AL) 24 October 2002 (2002-10-24)
- D2: WO 95 23600 A (AKZO NOBEL NV ;DELBRESSINE LEONARDUS PETRUS C (NL); WIERINGA JOHAN) 8 September 1995 (1995-09-08)
- D3: SUSSMAN N: 'Review of atypical antipsychotics and weight gain.' THE JOURNAL OF CLINICAL PSYCHIATRY. UNITED STATES 2001, vol. 62 Suppl 23, 2001, pages 5-12, XP008026085 ISSN: 0160-6689
- D4: RUSSELL J M ET AL: 'Bodyweight gain associated with atypical antipsychotics: epidemiology and therapeutic implications.' CNS DRUGS. NEW ZEALAND 2001, vol. 15, no. 7, 2001, pages 537-551, XP008026091 ISSN: 1172-7047
- D5: ALLISON D B ET AL: 'ANTIPSYCHOTIC-INDUCED WEIGHT GAIN: A COMPREHENSIVE RESEARCH SYNTHESIS' AMERICAN JOURNAL OF PSYCHIATRY, AMERICAN PSYCHIATRIC ASSOCIATION, WASHINGTON, DC, US, vol. 156, no. 11, November 1999 (1999-11), pages 1686-1696, XP001009543 ISSN: 0002-953X
- D6: BAZIRE S: 'Schizophrenia' CHEMIST&DRUGGIST, [Online] 3 August 2002 (2002-08-03), pages 17-20, XP002266562 Retrieved from the Internet: <URL:<http://www.dotpharmacy.co.uk/up1243.pdf>> [retrieved on 2004-01-12]

V.2. Novelty (Article 33(2) PCT)

The present application does not meet the requirements of Article 33(1) PCT, because the subject-matter of claims 1-12, if limited to the subject-matter as defined under item III.2.2, is new in the sense of Article 33(2) PCT.

V.2.1. Prior art

Document D1 (US2002/156067 A1)

discloses the combined use of a norepinephrine reuptake inhibitor and a neuroleptic agent, including ORG-5222 (= asenapine) for the treatment of diseases of the central nervous system, including schizophrenia and obesity.

Document D2 (WO 95 23600A)

discloses a sublingual or buccal pharmaceutical composition of asenapine for the treatment of mental disorders, including psychosis and schizophrenia (abstract).

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V.2.2. The subject-matter of the present claims involves the use of the antipsychotic agent asenapine (= ORG-5222) for the treatment of schizophrenia in overweight or obese patients or in patients which show a predisposition for overweight or obesity. The alleged advantage of asenapine, when compared to other atypical antipsychotic agents, in particular to risperidone, is its reduced tendency to cause significant weight increases in the patients.

V.2.3. Document D1 does not disclose or suggest an activity of asenapine when used as the only active compound as claimed in the present application. Furthermore, schizophrenia appears in a long list of indications mentioned in document D1.

V.2.4. Document D2 discloses the applicability of asenapine in the treatment of schizophrenia, but is silent about a particular selection of patients for which asenapine shows advantageous properties, when compared with other atypical antipsychotics.

The subject-matter of claims 1-12, if limited to the subject-matter as defined under item III.2.2, is therefore considered novel in the sense of Article 33(2) PCT.

V.3. Inventive step (Article 33(3) PCT)

The present application does not meet the requirements of Article 33(1) PCT, because the subject-matter of claims 1-12, if limited to the subject-matter as defined under item III.2.2, does not involve an inventive step in the sense of Article 33(3) PCT.

V.3.1. Problem to be solved

The problem to be solved by the present invention is the provision of a medicament for the treatment of schizophrenia in patients a) suffering from overweight or obesity, b) having had weight gain due to treatment with another antipsychotic agent or c) having, due to the presence of other weight-increasing factors, a pre-disposition for overweight or obesity.

V.3.2. Solution

The solution as provided by the present invention is to use the atypical antipsychotic asenapine (=ORG-5222).

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V.3.3. Prior art

Document D2

discloses asenapine and sublingual or buccal pharmaceutical composition comprising asenapine for the treatment of schizophrenia.

Document D3 (XP008026085)

discloses data on the weight-increasing effects of various antipsychotic agents, including clozapine, olanzapine, sertindole, risperidone and haloperidol after 10 weeks of treatment (Figure 1). The changes in body weight differ significantly from each other, with the atypical antipsychotics clozapine and olanzapine causing the highest gains in body weight and risperidone causing less than 50% of the weight increase of clozapine.

Document D4 (XP008026091)

discloses that "studies have reported that clozapine treatment is associated with an average bodyweight gain of up to 16.2 kg (...) Olanzapine and risperidone are also associated with varying degrees of bodyweight gain, and there are some data to indicate that quetiapine and zotepine also cause this adverse effect. (...) Limited data indicate that ziprasidone and amisulpride are less likely to cause bodyweight gain, but further studies are needed to confirm this" (page 538, paragraph bridging left and right columns).

Document D5 (XP001009543)

discloses that antipsychotic medication using clozapine, olanzapine, thioridazine/mezoridazine, sertindole, chlorpromazine, risperidone, polypharmacy, nonpharmacologic control, haloperidol and fluphenazine resulted in an weight gain after 10 weeks on standard drug doses (figure 1), and that only treatment with ziprasidone and molindone resulted in a lack of weight gain or a reduction of weight, respectively.

Document D6 (XP002266562)

discloses that weight gain is a 'marked' side effect in the treatment with clozapine and olanzapine, a 'moderate' side effect in the treatment with phenothiazines and 'some' side effect in the treatment with butyrophenones, thioxanthenes, benzamides, quetiapine and risperidone (page 19). It is further disclosed that 'Weight gain is a major problem, both in terms of physical problems (hypertension, increased risk of type 2 diabetes, stroke and hypercholesterolaemia) as well as psychological (self-

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image) not to mention financial (...) and the adverse effect on compliance. The mechanism is unclear but is unlikely to be increased appetite alone" (page 20, column 2).

V.3.4. Difference between the application and the closest prior art

The difference between the present application and document D2, which has been selected as the closest prior art, is the special section of a group of schizophrenia patients, namely overweight or obese patients, patients for which a treatment with other antipsychotics had resulted in a weight gain and patients with a pre-disposition for overweight or obesity.

V.3.5. Analysis of the presence of an inventive step

The person skilled in the art, knowing about the activity of asenapine as an atypical antipsychotic (from D2), and aware of any or all of documents D3-D6 would, in view of the significantly varying tendencies of antipsychotic agents to cause weight gain, not directly conclude from that knowledge that asenapine has a beneficial (i.e. not/little increasing) effect on the weight of a schizophrenia patient during the treatment.

The experimental data presented in the present application show the results of a comparative test using asenapine and risperidone. In a test group of similar size, asenapine caused a weight gain of $\geq 7\%$ only for two subjects whereas in the risperidone group a weight gain of $\geq 7\%$ was detected in 8 subjects.

Therefore, the experimental data demonstrate a superior effect of asenapine on the body weight of schizophrenia patients when compared with risperidone, which is not even one of the antipsychotics showing a high weight gain.

Consequently, the presence of an inventive step in the sense of Article 33(3) PCT can be recognised for the subject-matter of claims 1-12, if limited to the subject-matter as defined under item III.2.2.

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V.4. Industrial applicability (Article 33(4) PCT)

For the assessment of the present claims 1-6 on the question whether they are industrially applicable, no unified criteria exist in the PCT Contracting States. The patentability can also be dependent upon the formulation of the claims. The EPO, for example, does not recognize as industrially applicable the subject-matter of claims to the use of a compound in medical treatment, but may allow, however, claims to a known compound for first use in medical treatment and the use of such a compound for the manufacture of a medicament for a new medical treatment.